

ETHOS FINANCIAL GROUP, LLC

PRIVACY NOTICE

Ethos Financial Group, LLC (referred to as “Ethos”) maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients’ nonpublic personal information (“information”). Through this policy and its underlying procedures, Ethos works to secure the confidentiality of customer records and information and protect against anticipated threats or hazards to the security or integrity of customer records and information.

Ethos collects different types of personal information depending on the product or services you have with us. This information can include, but is not limited to, Social Security number, name, address, account numbers, statements, investment experience, and risk tolerance. Ethos collects this, for example, when you enter an advisory contract, open an account, or give us your contact information. It is the policy of Ethos to restrict access to all current and former clients’ information to those employees, affiliates, and nonaffiliated entities who need to know that information to provide products or services in furtherance of the client’s engagement of Ethos. In that regard, Ethos may disclose the client’s information for everyday business purposes (such as delivering routine service, processing transactions, etc.) or for marketing purposes (to offer our services to you). We may also share this information with our affiliates for everyday business purposes (transactions and experiences). Finally, we may disclose this information as otherwise permitted to do so in accordance with the parameters of federal and/or state privacy regulations. The disclosure of information contained in any document completed by the client for Ethos to facilitate a business relationship between the client and/or between Ethos and a nonaffiliated third party service provider (ex., broker-dealer, investment adviser, account custodian, record keeper, insurance company, etc.), including, but not limited to, information contained in any document completed and/or executed by the client in furtherance of the client’s engagement of Ethos (i.e., advisory agreement, client information form, etc.), shall be deemed as having been

automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider.

Federal law gives a client the right to limit Ethos sharing with affiliates information about your creditworthiness, and to limit Ethos sharing your information with affiliates and non/affiliates so they can market to you. Ethos does not share any of its clients' information in these ways. State laws may give you additional rights to limit sharing.

By providing your mobile phone number to Ethos and opting in to receive text messages — whether through a web form, verbal consent documented by your advisor, an account onboarding form, or another method — you consent to receive text messages from Ethos related to:

- Account service and administrative communications
- Appointment and meeting reminders or scheduling
- Requests for documents, signatures, or follow-up information
- Other communications related to your advisory relationship

Ethos does not use text messaging for unsolicited marketing or promotional purposes.

Message frequency varies depending on your account activity and your communications with your advisor. Message and data rates may apply.

You may opt out of text messages at any time by replying STOP to any message you receive from us. You will receive one final confirmation message, after which you will not receive further texts unless you opt back in. For assistance, reply HELP to any message, or contact us at info@ethosfg.com or 610-822-5246.

Opting out of text messages does not affect your ability to reach us, or our ability to reach you, by phone, email, mail, or your client portal.

Information collected through the Text Messaging Program — including your mobile number and opt-in/consent status ("SMS Opt-In Data") — is used solely to facilitate the communications described above.

We do not sell, rent, or share SMS Opt-In Data with third parties for their marketing or promotional purposes. SMS Opt-In Data may be shared with service providers who support our text messaging platform (e.g., telecommunications and

technology vendors) solely to deliver these communications and may otherwise be retained and disclosed as described above or as required by applicable law and regulatory recordkeeping obligations applicable to registered investment advisers.

Wireless carriers are not liable for delayed or undelivered messages. Ethos and its telecommunications/messaging partners are not liable for delays or failures in the transmission or delivery of text messages.

This program is supported on most major U.S. wireless carriers. Carrier availability is subject to change without notice.

Should you have any questions regarding the above, please contact the Ethos Chief Compliance Officer at 610-822-5246.